Evaluating an Interactive Memory Analysis Tool: Findings from a Cognitive Walkthrough and a User Study

MARKUS WENINGER, Institute for System Software, Johannes Kepler University Linz, Austria
PAUL GRÜNBACHER, Institute for Software Systems Engineering, Johannes Kepler University Linz, Austria
ELIAS GANDER, Christian Doppler Laboratory MEVSS, Johannes Kepler University Linz, Austria
ANDREAS SCHÖRGENHUMER, Christian Doppler Laboratory MEVSS, Johannes Kepler University Linz, Austria

Memory analysis tools are essential for finding and fixing anomalies in the memory usage of software systems (e.g., memory leaks). Although numerous tools are available, hardly any empirical studies exist on their usefulness for developers in typical usage scenarios. Instead, most evaluations are limited to reporting the performance overhead. We thus conducted a study to empirically assess the usefulness of the interactive memory analysis tool AntTracks Analyzer. Specifically, we first report findings from assessing the tool using a cognitive walkthrough, guided by the Cognitive Dimensions of Notations Framework. We then present the results of a qualitative user study involving 14 subjects who used AntTracks to detect and resolve memory anomalies. We report lessons learned from the study and implications for developers of interactive memory analysis tools. We hope that our results will help researchers and developers of memory analysis tools in defining, selecting, and improving tool capabilities.

CCS Concepts:
• General and reference → Evaluation; Metrics; Performance;
• Human-centered computing → User studies; Usability testing; Walkthrough evaluations; Empirical studies in HCI; Graphical user interfaces; User centered design;
• Information systems → Users and interactive retrieval;
• Software and its engineering → Software performance.

Additional Key Words and Phrases: Interactive Memory Analysis Tools; Cognitive Walkthrough; Cognitive Dimensions; User Study; Usefulness; Usability; Utility; Assessment

ACM Reference Format:

1 INTRODUCTION

Interactive memory analysis tools collect, process, transform, and visualize information about the memory footprint of software systems. Snapshot-based tools analyze a single point in time while trace-based tools allow users to explore a period of time [105]. For example, existing tools typically present the heap state of an application as a type histogram displaying the number of objects and

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bytes allocated for each type. Analyzing such information allows users to detect potential memory anomalies and to reveal their root cause.

Existing interactive memory analysis tools provide a variety of capabilities to analyze different aspects of memory usage. For example, the Eclipse Memory Analyzer (MAT) \cite{106} and VisualVM \cite{108} are the most commonly used open source memory analysis tools for Java. While MAT purely focuses on memory analysis, VisualVM is a more general performance analysis tool including advanced memory analysis features. Kieker \cite{58,110,111} is a well-known general performance framework for monitoring and analyzing the run-time behavior (including memory) of concurrent or distributed software systems. Well-known examples of commercial tools providing memory analysis features are the Dynatrace application performance monitoring (APM) platform \cite{29} and the JProfiler \cite{30}, which offers memory profiling and a heap walker for Java applications.

So far, most memory analysis tools have been evaluated with a focus on their performance overhead and feasibility while only little empirical research exists on their usefulness in practical environments and for realistic usage scenarios. The term usefulness captures a tool’s utility, i.e., to what degree it allows users to achieve their goals, and its usability, i.e., how well users can make use of the offered features. The study by Zaman et al. \cite{128} is an exception in the field of performance engineering, as the authors show for two enterprise systems that test-based performance analyses need to be complemented with user-centric assessments to better understand user-perceived quality. The authors strongly argue that performance engineering should use the knowledge on how to conduct user-centric analysis from other fields.

This paper thus reports findings of a cognitive walkthrough to assess the usability of an interactive memory analysis tool. We also conducted a qualitative study to analyze the behavior of users analyzing memory anomalies in a realistic context. We performed our research using AntTracks, a memory monitoring system which comprises the AntTracks VM \cite{64–66}, a custom virtual machine based on the Java Hotspot VM \cite{107}, and the AntTracks Analyzer \cite{7,114–117,119,120,122}, a trace-based memory analysis tool. The AntTracks VM records memory events such as object allocations and object movements during garbage collection (GC) by writing them into trace files \cite{64–66}. The AntTracks Analyzer then parses trace files by incrementally processing these events, thereby allowing to reconstruct the heap state for every GC point \cite{7}. Various memory analyses can be performed with AntTracks, including heap state analysis \cite{114,119,122}, data structure growth analysis \cite{115,117}, and heap evolution visualization \cite{120}.

Specifically, the contributions of our work encompass (1) a discussion of common memory analysis activities and tool capabilities based on existing research and tools (Section 3), (2) a realization of these capabilities in the AntTracks Analyzer memory analysis tool (Section 4), including an assessment based on a cognitive walkthrough following the Cognitive Dimensions (CD) of Notations Framework (Section 5), (3) the design (Section 6) and results (Section 7) of a usefulness study involving 14 participants who used AntTracks in two realistic analysis scenarios, and (4) general recommendations for researchers and developers of interactive memory analysis tools we derived from lessons learned during the study (Section 8) as well as a discussion on how we used these recommendations to further improve AntTracks (Section 9). Section 10 discusses threats to validity and Section 11 concludes the paper.

2 RESEARCH METHOD

The field of human-computer interaction (HCI) distinguishes inspection-based and test-based approaches \cite{47} to evaluate the usability of software systems. Inspection-based techniques aim at assessing and improving interactive systems by checking them against some standard, such as Nielsen’s usability attributes \cite{80} or the Cognitive Dimensions (CD) of Notations Framework \cite{8,9,11,39–41}. Test-based techniques, on the other hand, involve end users in the evaluation.
Memory analysis is a highly complex and interactive process. Our research method thus relies on both inspections and testing. Specifically, we investigated two research questions on the usefulness of interactive memory analysis tools using the example of AntTracks: (RQ1) Regarding usability we assessed AntTracks’ memory analysis capabilities from the perspective of software engineers, guided by the CD framework and Nielsen’s usability attributes. (RQ2) Regarding utility we conducted a user study analyzing a real-world Java web application with seeded memory defects. Based on these results, we synthesized recommendations and lessons learned intended to support developers of interactive memory analysis tools. To tackle these questions, we conducted our research in four steps:

**Identification of Memory Analysis Activities.** We studied related research and features of state-of-the-art memory analysis tools to identify important memory analysis activities benefiting from tool support. In addition to that, we present how these memory analysis activities manifest themselves in the memory monitoring tool AntTracks, the main subject of this study.

**Cognitive Walkthrough and Tool Improvement.** To assess AntTracks’ usability, we first performed a cognitive walkthrough of the identified activities using the CD framework, which offers a vocabulary for discussing usability issues and their trade-offs. The CD framework has been used successfully to assess software tools [5, 60, 70, 90, 91], visual diagrams [10], temporal specification notations [62, 63], or visual modeling languages [22, 123]. Table 1 shows a summary of these dimensions. A detailed description of the framework and the cognitive dimensions can be found online [40]. The primary aim of this cognitive walkthrough was to reveal and fix possible usability flaws before conducting the user study and to define the scope for the user study.

**User Study.** We designed our study based on the findings from the CD assessment and the guidelines for conducting empirical studies by Runeson and Höst [97]. Software engineering students from our university used AntTracks to investigate the memory evolution of an application to detect anomalies such as memory leaks or high memory churn (cf. Section 6). For each anomaly, the participants aimed at revealing its root cause using the memory analysis. During this process, we asked each study participant to ‘think aloud’ [47], i.e., to describe what they were doing and to

<table>
<thead>
<tr>
<th>Dimension</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Abstraction</td>
<td>types and availability of abstraction mechanisms</td>
</tr>
<tr>
<td>Closeness of Mapping</td>
<td>closeness of representation to domain</td>
</tr>
<tr>
<td>Consistency</td>
<td>similar semantics are expressed in similar syntactic forms</td>
</tr>
<tr>
<td>Diffuseness</td>
<td>verbosity of language</td>
</tr>
<tr>
<td>Error-proneness</td>
<td>notation invites mistakes</td>
</tr>
<tr>
<td>Hard Mental Operations</td>
<td>high demand on cognitive resources</td>
</tr>
<tr>
<td>Hidden Dependencies</td>
<td>important links between entities are not visible</td>
</tr>
<tr>
<td>Premature Commitment</td>
<td>constraints on the order of doing things</td>
</tr>
<tr>
<td>Progressive Evaluation</td>
<td>work-to-date can be checked at any time</td>
</tr>
<tr>
<td>Provisionality</td>
<td>degree of commitment to actions or marks</td>
</tr>
<tr>
<td>Role-expressiveness</td>
<td>the purpose of a component is readily inferred</td>
</tr>
<tr>
<td>Secondary Notation</td>
<td>extra information in means other than formal syntax</td>
</tr>
<tr>
<td>Viscosity</td>
<td>resistance to change</td>
</tr>
<tr>
<td>Visibility</td>
<td>ability to view components easily</td>
</tr>
</tbody>
</table>

Table 1. Cognitive dimensions used for the walkthrough (taken from [40]).
comment on any concerns. The participants were interviewed on the utility of the tool [23] and also completed a usability questionnaire [80].

Derivation of Implications. Finally, we synthesized recommendations and lessons learned based on the detailed results and feedback obtained from the study. In addition, we discuss how these recommendations were used to further improve AntTracks.

3 MEMORY ANALYSIS ACTIVITIES
We present key activities supported by interactive memory analysis tools based on our experiences and related work. We focus on memory analysis for managed languages such as Java or C#. We will show that the tools vary regarding their support, e.g., some tools only visualize raw data and leave the analysis to the users, while other tools automate certain analyses activities.

3.1 Collecting Memory Data
Basic tools for snapshot-based inspections of memory usage mostly rely on heap dumps, which can be created by tools such as HPROF [85, 88] or jmap [84]. The following techniques are used for analyzing more specific details of snapshots or memory usage over time [6]: (1) A modified execution environment such as a custom Java VM that can access internal information; (2) a sampling-based approach, e.g., an agent using the Java VM Tool Interface [87] to receive periodical callbacks about memory-relevant events in the application; or (3) an instrumentation-based approach that relies on adding code to an existing application, either before compilation (e.g., AspectJ [57]) or at run time (e.g., ASM [14, 15, 61] or Javassist [17, 18]).

3.2 Detection of Memory Anomalies
Before inspecting an application in detail, memory analysis tools support users in detecting memory anomalies such as memory leaks, high memory churn, memory bloat, or unusual GC behavior.

3.2.1 Memory Leaks.
Memory leaks [35] in managed languages occur if objects no longer needed remain reachable from garbage collection roots (e.g., static fields or local variables) due to programming errors. For example, objects may accumulate over time when a developer forgets to remove them from long-living data structures [115]. Such leaks lead to a growing memory footprint, which at some point will cause an application to crash. There are two main approaches to detect memory leaks: (1) Techniques detecting staleness [12, 44, 92, 126] assume that objects not used for a long time are likely involved in a memory leak. However, the proposed techniques are hardly used outside academia due to their high costs of tracking objects. (2) Techniques detecting growth [16, 54, 76, 104] are thus still the de-facto standard in state-of-the-art memory analysis tools and mostly rely on users interpreting visualizations. For example, VisualVM [108] periodically plots the memory footprint in a time-series chart. The user then has to check for suspicious sections of continuous growth that might hint at a memory leak. Similarly, JConsole [83] can read a running application’s Java Management Beans to plot the currently occupied heap memory separated by eden space, survivor space, and old space.

3.2.2 Memory Churn.
Memory churn occurs when large numbers of short-living objects are created by an application, thereby causing many garbage collections. Such excessive dynamic allocation behavior [102] typically has a negative impact on performance. However, obtaining the information on how long objects survive before dying is expensive [45, 95, 96]. Most tools are thus limited to analyzing the number of allocations, but not the exact lifetime of objects. Objects frequently allocated in burst typically do not survive for a long time and thus the high allocation rate already indicates memory
churn. Memory churns can be detected either by visually spotting spike patterns in memory charts (i.e., high consumption of memory followed by many object deaths) or by plotting the number of allocations over time (i.e., detecting allocation-intensive time windows), as for example done in Dynatrace [29] or Kieker [58, 110, 111].

3.2.3 Memory Bloat.
Memory bloat [52, 75, 125] describes the inefficient use of memory for achieving seemingly simple tasks. It is often caused by heavily using (object-oriented) abstractions, such as in over-generalized data structures. Most techniques for detecting memory bloat thus focus on analyzing data structures requiring many auxiliary objects [77] or inefficient usage of data structures operations for adding, getting, or removing elements [124, 127].

3.2.4 Unusual GC Behavior.
The behavior of the GC can also indicate memory problems. Instead of looking at the memory behavior of an application, this anomaly is detected by inspecting the garbage collector, e.g., by measuring GC overhead via the garbage collection count and the garbage collection duration.

3.3 Inspection of Memory Anomalies
Once a suspicious memory behavior is detected, the user can inspect a single point in time or a time interval to reveal the root cause of the problem.

3.3.1 Single Point in Time.
The most common technique is a heap state analysis, which relies on reconstructing the objects that were alive at a certain point in time. For every object on the heap, a number of properties can be reconstructed depending on the tool: these may include the object’s address, its type, its allocation site, the heap objects it references, the heap objects it is referenced by, the thread allocating the object, and a list of root pointers referencing it. Users can then examine (groups of) objects on the heap or study metrics about the heap state. Object-based techniques allow to inspect heap objects in a bottom-up or top-down fashion [114]. In the bottom-up approach the user searches for big object groups (e.g., objects of the same type) and then tries to free them. The most common visualization to find these object groups is a type histogram grouping all heap objects by their types, and also showing the memory occupied by each type. The object type(s) consuming most memory can then be inspected in detail. Some tools support users by displaying the path to the GC roots, while other approaches assist users by displaying the code that has allocated the objects. Visualization approaches [2, 46, 71, 74, 93, 98, 129] aggregating the object graph (e.g., based on its dominator tree [67, 73, 114]) are useful to analyze the heap’s composition. A user following the top-down approach first selects a GC root or a heap object that keeps alive many other objects. The user then inspects the objects reachable from this root or object and searches for possible cut points in the path [114, 115]. Metric-based techniques derive metrics from the heap state that allow to analyze the heap state by revealing fields, objects, classes or packages that are likely involved in memory anomalies [19, 20, 77].

3.3.2 Evolution over Time.
A number of tools also allow to analyze the memory usage evolution of an application over time [24, 25, 76, 116], in its basic form by comparing heap states. In MAT [106], for example, users can compare two heap states by computing a delta type histogram diagram to identify objects with high growth rates. In Dynatrace [29] users can show the number of objects allocated in the selected time interval. Extensively allocated objects can then be considered for reuse, caching, or removal. Other approaches allow to automatically detect growing data structures [115, 117], or to visualize the evolution of the memory composition over time [120, 121].
4 OVERVIEW OF ANTTRACKS

The first result of the AntTracks project [113] was a custom Java VM for efficiently collecting detailed memory traces [65]. The AntTracks Analyzer then started as a research prototype for reconstructing heap states from these memory trace files [7]. The tool is now an interactive memory analysis tool for the detection and inspection of various memory anomalies. We selected the AntTracks tool as subject for this study as it is a publicly available¹ and covers more memory analysis tasks than alternative tools. For example, AntTracks can perform detailed analyses over time due to its trace-based nature, while other publicly available tools such as MAT [106] or VisualVM [108] are restricted to snapshot-based (dump-based) analyses. Another reason for selecting AntTracks was the high familiarity of some authors with its code base. The goal of the cognitive walkthrough was to reveal and fix major flaws before the user study, so detailed knowledge of the tool and its implementation was essential.

In the following, we give an overview of a subset of AntTracks Analyzer’s features, organized by the memory analysis activities presented in Section 3.

4.1 Memory Growth Detection — Overview

Users working with the AntTracks Analyzer first open a trace file recorded with the AntTracks custom VM. The file contains information on the memory behavior of the monitored application. The application overview (see Figure 1a) opens upon loading and shows the memory footprint and GC overhead as time-series charts. A continuous growth of the memory footprint, for instance, may indicate a memory leak. This overview is intentionally kept simple. For example, to avoid terminology unknown to the user, the memory footprint chart only contains a single time series showing the occupied memory. Moreover, it only shows data points marking the end of garbage collections, thereby resulting in a smoother trend line².

4.2 Memory Growth Inspection: Evolution over Time — TrendViz View

If a user detects a time window with suspicious memory growth, AntTracks’ TrendViz view [120] allows to inspect the memory evolution during this time window in more detail. The first step is to define properties based on which the heap objects are grouped during analysis (see Figure 1b). For this purpose, AntTracks provides a variety of different object classifiers [119, 122], each of which groups heap objects based on a different criterion. For example, the type classifier groups all objects by their type name, e.g., java.util.HashMap. A user can select multiple classifiers for grouping the heap, which results in a classification tree. For example, using the type classifier followed by the allocation site classifier first groups all objects based on their types, and then further groups all objects of a given type based on the source code location they were allocated at. The AntTracks TrendViz visualizes the evolution of the heap based on the selected classifiers (see Figure 1c). When opening the view, a single chart shows only the evolution of the first level of the classification tree, e.g., the evolution of the objects grouped by type. The user can then display further charts for the next levels of the classification tree, e.g., the evolution of the allocation sites of a selected type. For example, in Figure 1c the most-allocated type Product has been selected by the user in the top chart (highlighted in yellow), and a second chart below displays this type’s allocation sites. This way users can interactively collect information about suspicious objects accumulating over time.

¹AntTracks download link: http://ssw.jku.at/General/Staff/Weninger/AntTracks/Publish/
²The occupied memory is generally higher when a garbage collection starts, however, the spikes between garbage collection starts and ends are not relevant for the purpose of detecting memory leaks.
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(a) The **Overview** plots the application’s memory footprint and GC overhead and allows to select a suspicious memory leak time window.

(b) Users can choose from a list of classifier combinations to group heap objects.

(c) The **TrendViz** displays the heap evolution grouped by the selected classifier combination.

(d) The **heap state view** displays the classified heap at a certain point in time as a tree table.

(e) The **graph view** highlights the path from a selected group of objects (shown at the bottom) to its most important GC roots (colored nodes).

Fig. 1. Memory leak analysis in AntTracks.
4.3 Memory Growth Inspection: Single Point in Time — Heap State View + Graph View

Users analyzing memory growth over time often reveal suspicious objects that accumulate memory. These objects can then be further inspected at a specific point in time. For example, after a memory growth analysis, AntTracks may suggest to inspect the heap state at the end of the previously selected time window. At this point, all objects that have accumulated during this time window are present in the heap and can thus be easily inspected. AntTracks can visualize the heap state using a table-based or graph-based analysis.

4.3.1 Table-based Analysis — Heap State View.

When inspecting a specific heap state, the user first selects a classifier combination (cf. Figure 1b) for grouping the heap objects. The resulting classification tree is displayed in a tree table on the heap state view, as shown in Figure 1d. In this table, the user can further inspect suspicious objects previously identified in the trend view. For example, this view allows to inspect the GC closures of an object group [114], i.e., the objects kept alive by a certain object group, or a tabular visualization of the path to the closest GC root [114], similar to VisualVM [108].

4.3.2 Graph-based Analysis — Graph View.

Further analyses are needed if a user detects a suspiciously large group of objects being kept alive. This can happen in garbage-collected languages if objects are still directly or indirectly reachable from GC roots such as local variables or static fields. In this case, the user needs to inspect the paths to these GC root to find ways for reducing the number of paths.

The most convenient way to inspect the paths to GC roots is the graph view shown in Figure 1e. Initially, this view only shows a single node representing the set of suspicious objects. By selecting the node the user can apply the Path to GC roots operation, which traverses the references pointing to the given objects recursively until GC roots are found. To keep the number of displayed nodes low, objects of the same type are grouped into a single node. Nodes are labeled with their objects’ type name and the number of objects belonging to them. Edge labels show how many objects of the top node reference how many objects of the bottom node. GC roots are displayed as special nodes that are highlighted by a colored background. After performing the path to GC roots action, the user can explore the resulting paths and detect the GC roots referencing most objects. To make objects eligible for garbage collections, a developer can then ‘cut’ the paths to these GC roots by setting references to null or by removing objects from their containing data structures.

4.4 Memory Churn Detection — Details View

In case of memory churn the performance degradation is caused by the creation and garbage collection of many short-living objects [102]. In AntTracks suspicious time windows with high memory churn can be detected in the details view, which plots the memory footprint at the beginning and at the end of every garbage collection (cf. Figure 2a). The memory occupied at the start of a garbage collection is usually much higher than at its end, i.e., the garbage collections appear as spikes. A user aiming to detect high memory churn needs to look for high and frequent spikes in this memory footprint chart.

4.5 Memory Churn Inspection: Evolution over Time — Short-living Objects View

Once a suspicious memory churn time window is detected, the goal of the developer is to reduce the number of allocations by determining the objects responsible for most of the memory churn within this window. Knowing the types and allocation sites of these objects, then allows to track down their allocations in the source code to fix the problem.
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(a) The Details view plots the application’s detailed memory footprint and GC pauses and allows to select a suspicious memory churn time window.

(b) The charts on the short-living objects view show the monitored application’s garbage collection behavior.

(c) This table on the short-living objects view enables users to drill down into object groups causing suspiciously high memory churn.

Fig. 2. Memory churn analysis in AntTracks.
AntTracks detects short-living objects based on the number of garbage collections they survived. This object age information is then visualized in the short-living objects view comprising an overview tab presenting various garbage collection metrics to give a first impression of garbage composition (cf. Figure 2b), and an inspection tab depicting all garbage-collected objects in a tree table using AntTracks’ classification mechanism (cf. Figure 2c). The overview tab helps the user to familiarize with the garbage collector activity in the selected time window. For example, pie charts reveal the object types and allocation sites producing most garbage. By selecting a chart entry the user can switch to the inspection tab to further investigate the respective objects. The inspection tab uses a tree table, similar to the one used on the heap state view, to display the garbage collected objects. Inspecting this tree allows users to determine the objects which did not survive a single garbage collection and to investigate their types, allocation sites, and the methods calling the allocating method.

5 COGNITIVE WALKTHROUGH OF ANTTRACKS

We first performed a cognitive walkthrough of the AntTracks Analyzer using the CD framework to assess its usability and to select specific usability aspects for in-depth investigation in the user study. Specifically, three authors of this paper (two of which are familiar with AntTracks’ source code) independently assessed AntTracks’ features for the identified memory analysis activities. To do so, every assessor performed a memory leak analysis on the Dynatrace easyTravel application [28] and a memory churn analysis on the http-finagle benchmark of the Renaissance benchmark suite [89]. Both of these applications have already been used in related work to present typical memory problems [116].

Each assessor performed the respective memory analysis task on each view of AntTracks. As cognitive dimensions are designed as ‘discussion tools’ [40], every assessor took notes for each view based on the 14 cognitive dimensions defined in the CD framework [8]. They also rated each cognitive dimension on each view using a color-based three-level classification: (1) green – no issues found, (2) yellow – room for improvement, and (3) red – serious flaws.

After every assessor had independently performed these tasks, their results were merged based on their ratings and their notes during a discussion session. For the rating, they always took the lowest rating of all three assessors as the joint result to ensure all concerns are addressed, i.e., if two assessors rated a CD as green and one rated it as red, the joint rating was red. Each author’s notes and comments were merged and discussed to ensure a common understanding.

This merging session resulted in a single spreadsheet shown in Figure 3. The assessor comments are only partially shown due to space constraints. Six cognitive dimensions were regarded as cross-cutting, i.e., affecting the whole application. Overall 43 view-CD-pairs were rated as yellow (room for improvement) and seven cognitive dimension on two different views were rated as red (serious usability flaws). These flaws had to be fixed before the user study to prevent obvious showstoppers during the study.

Due to their high number, further evaluating all of these issues during the user study would have been infeasible. Thus, the assessors jointly selected the 27 most interesting usability issues (highlighted using black font and thick borders in Figure 3). A list of these cognitive dimensions can also be found in Table 2. This table contains one entry for every view in AntTracks alongside its respective memory analysis activity. For every view, it lists the cognitive dimensions chosen for further investigation during the user study. For each cognitive dimension, we agreed on the methods how data for evaluating the respective cognitive dimension should be collected during the user study: By observation (OBS) of user activities and think-aloud statements or by a specific question in the interview (INT) at the end of the study. The last column shows the degree of support
of a cognitive dimension based on the results of the user study. These results which will be discussed in more detail in Section 7.2.

In the following, we present the assessors’ feedback to the cognitive dimensions selected for detailed inspection during the user study. The user study design as well as the interview questions have been adjusted to gain as much insight as possible into these possible usability flaws.

5.1 Memory Growth Detection — Overview

Error-proneness, i.e., the notation invites errors, has been recognized as a likely problematic cognitive dimension in the overview. The most common operations on this view are chart interactions such as the selection of a single point in time (e.g., to select the heap state to be analyzed), the selection of a time window (e.g., to select an interval for heap evolution analysis), zooming, or panning. Different

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<table>
<thead>
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<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>AntTracks View</td>
<td>Overview</td>
<td>TrendViz View</td>
<td>Heap State View</td>
<td>Graph View</td>
<td>Details View</td>
<td>Short-living Objects View</td>
<td></td>
</tr>
<tr>
<td>Abstraction</td>
<td>Overview uses easy terminology.</td>
<td>Abstraction into chart series -&gt; improve by …</td>
<td>Maybe terminology? Data structure DSG.</td>
<td>Nodes represent groups of objects understandable?</td>
<td>GC chart</td>
<td>Is the content of the tree view clear?</td>
<td></td>
</tr>
<tr>
<td>Closeness of Mapping</td>
<td>GC chart</td>
<td>Drill-down feature may not be clear.</td>
<td>The hierarchical.</td>
<td>Tree visualized as hierarchical TreeTableView.</td>
<td>How to display different elements.</td>
<td>Objects, GC roots.</td>
<td>GC chart</td>
</tr>
<tr>
<td>Consistency</td>
<td>Evolution data is by default presented as charts in AntTracks.</td>
<td>Evolution data is by default presented as charts in AntTracks.</td>
<td>To achieve immersion and closeness of …</td>
<td>Other column names than on heap state view.</td>
<td>Are there annoying inconsistencies?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Diffuseness</td>
<td>Overcrowded classifier selection, also see viscosity.</td>
<td>Classifier selection is too complex.</td>
<td>Highlight most …</td>
<td>Test that not too many different notions are used, …</td>
<td>Explanatory text is too long.</td>
<td>Many charts on overview - too many?</td>
<td>Unnecessary or unnecessarily complex views?</td>
</tr>
<tr>
<td>Error-proneness</td>
<td>Possible flaw: Chart interaction.</td>
<td>Possible flaw: Chart interaction.</td>
<td>Operations in context menu clear?</td>
<td>User defined?</td>
<td>Make sure that operations that would not be possible in the user interface are not implemented.</td>
<td>See Overview (Chart interactions)</td>
<td>Normal classification trees.</td>
</tr>
<tr>
<td>Hard Mental Operations</td>
<td>Do users recognize growing memory as problem?</td>
<td>Do users recognize growing memory as problem?</td>
<td>Highlight selection = parent chart better.</td>
<td>Also display.</td>
<td>BUG: New classification in heap state may …</td>
<td>Interpretation of charts hard?</td>
<td>Are there any dependencies that we did not find yet?</td>
</tr>
<tr>
<td>Hidden Dependencies</td>
<td>Zoom if selected, selection is synced.</td>
<td>Zoom if selected, selection is synced.</td>
<td>Time window has to be selected beforehand …</td>
<td>Commitment</td>
<td>Time has to be selected beforehand …</td>
<td>Link from pie chart to table clear?</td>
<td>Are there any dependencies that we did not find yet?</td>
</tr>
<tr>
<td>Premature Commitment</td>
<td>User can check how many of the suggested time …</td>
<td>User can check how many of the suggested time …</td>
<td>Selected value is shown for every level.</td>
<td>The more levels, …</td>
<td>Position within classification tree determines progress.</td>
<td>Make sure that operations that would not be possible in the user interface are not implemented.</td>
<td>See Overview (Chart interactions)</td>
</tr>
<tr>
<td>Progressive Evaluation</td>
<td>Can open a new heap state without problems, etc.</td>
<td>Can open a new heap state without problems, etc.</td>
<td>All settings can be changed arbitrarily.</td>
<td>Absent of long running operations is possible.</td>
<td>View is always rewritable. Future work: Selecting what to change.</td>
<td>Make sure that operations that would not be possible in the user interface are not implemented.</td>
<td>See Overview (Chart interactions)</td>
</tr>
<tr>
<td>Provisionality</td>
<td>Memory chart clear.</td>
<td>Memory chart clear.</td>
<td>GC chart probably not directly clear.</td>
<td>Is it clear what a single chart is showing?</td>
<td>Should be clear, ask if the tree table visualization was …</td>
<td>Are the different types of nodes clear?</td>
<td>Charts maybe not clear, check if users understand what …</td>
</tr>
<tr>
<td>Role-expressiveness</td>
<td>Viscosity</td>
<td>Secondary Notation</td>
<td>Visibility</td>
<td>Viscosity</td>
<td>Secondary Notation</td>
<td>Visibility</td>
<td>Viscosity</td>
</tr>
<tr>
<td></td>
<td>Inflexibility of the classifier selection.</td>
<td>Inflexibility of the classifier selection.</td>
<td>Order of classifiers cannot be changed using drag-and-drop.</td>
<td>Graph grows rather fast.</td>
<td>Order of classifiers cannot be changed using drag-and-drop.</td>
<td>Many charts at once, may be overwhelming.</td>
<td>Many charts at once, may be overwhelming.</td>
</tr>
</tbody>
</table>
```

Fig. 3. The spreadsheet documents and classifies the results of the CD assessment. Each column represents an activity (cf. row 1) performed on one of AntTracks’ views (cf. row 2). Each of the 14 cognitive dimensions [8] is shown in a separate row. Green cells represent cognitive dimension for which no issues were found on the respective view, yellow cells highlight cognitive dimensions on the respective view with room for improvement (possible subjects for more detailed evaluation in the user study), and red cells highlight serious problems (requiring fixes before the user study). The text in the cells shows parts of the notes taken by the inspectors during the walkthrough. Highlighted view-CD-pairs (cells with black text and thick border) have been chosen to be evaluated in more detail during the user study.
interaction mechanisms (clicking, double-clicking, dragging, etc.) for different actions exist and vary across applications, which can easily lead to misuse. Hard mental operations also require attention in the user study. Even though users can be expected to immediately spot continuous growth in a time-series chart, novice users might not relate such patterns to possible memory leaks that should be investigated further.

5.2 Memory Growth Inspection: Evolution over Time — TrendViz View

Diffuseness, i.e., the verbosity of the notation, and viscosity, i.e., the resistance to change, both needed fixing before the user study due to AntTracks’ complex classifier system. Although this system is very flexible for expert to arbitrarily group heap objects, this flexibility can make the system difficult to use for novices. In particular, an overwhelmingly large list of available filters and classifiers is presented to the users (diffuseness), who may struggle to select sensible classifier combinations without a solid background in memory analysis. Additionally, the selection and arrangement of

Table 2. The cognitive dimensions that were chosen based on the results of the cognitive walkthrough to be inspected in more detail during the user study using observations (OBS) and interview questions (INT).

<table>
<thead>
<tr>
<th>Activity / Capability / Tool Views</th>
<th>Cognitive Dimension</th>
<th>Assessment in User Study</th>
<th>Study Result (cf. Section 7.2)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Detection: Memory Growth Overview</td>
<td>Error-proneness</td>
<td>OBS + INT</td>
<td>-</td>
</tr>
<tr>
<td></td>
<td>Hard Mental Operations</td>
<td>OBS + INT</td>
<td>-</td>
</tr>
<tr>
<td>Inspection: Evolution over Time AntTracks View</td>
<td>Abstraction</td>
<td>OBS</td>
<td>o</td>
</tr>
<tr>
<td></td>
<td>Diffuseness</td>
<td>OBS</td>
<td>+</td>
</tr>
<tr>
<td></td>
<td>Role-Expressiveness</td>
<td>OBS + INT</td>
<td>+</td>
</tr>
<tr>
<td></td>
<td>Viscosity</td>
<td>OBS</td>
<td>+</td>
</tr>
<tr>
<td></td>
<td>Visibility</td>
<td>OBS + INT</td>
<td>o</td>
</tr>
<tr>
<td>Inspection: Single Point in Time Heap State View</td>
<td>Diffuseness</td>
<td>OBS + INT</td>
<td>+/o</td>
</tr>
<tr>
<td></td>
<td>Hidden Dependencies</td>
<td>OBS</td>
<td>+</td>
</tr>
<tr>
<td></td>
<td>Role-Expressiveness</td>
<td>OBS + INT</td>
<td>o</td>
</tr>
<tr>
<td></td>
<td>Viscosity</td>
<td>OBS</td>
<td>+/o</td>
</tr>
<tr>
<td>Inspection: Single Point in Time Graph Visualization View</td>
<td>Consistency</td>
<td>OBS</td>
<td>+</td>
</tr>
<tr>
<td></td>
<td>Diffuseness</td>
<td>OBS</td>
<td>+</td>
</tr>
<tr>
<td></td>
<td>Hard Mental Operations</td>
<td>OBS + INT</td>
<td>-</td>
</tr>
<tr>
<td></td>
<td>Role-expressiveness</td>
<td>OBS + INT</td>
<td>-</td>
</tr>
<tr>
<td>Detection: Memory Churn Details View</td>
<td>Error-proneness</td>
<td>OBS</td>
<td>-</td>
</tr>
<tr>
<td></td>
<td>Hard Mental Operations</td>
<td>OBS</td>
<td>-</td>
</tr>
<tr>
<td></td>
<td>Visibility</td>
<td>OBS</td>
<td>+</td>
</tr>
<tr>
<td>Inspection: Evolution over Time Short-living objects View</td>
<td>Consistency</td>
<td>OBS + INT</td>
<td>+</td>
</tr>
<tr>
<td></td>
<td>Diffuseness</td>
<td>OBS</td>
<td>-</td>
</tr>
<tr>
<td></td>
<td>Role-Expressiveness</td>
<td>OBS + INT</td>
<td>o</td>
</tr>
<tr>
<td>Cross-Cutting</td>
<td>Abstraction</td>
<td>OBS + INT</td>
<td>-</td>
</tr>
<tr>
<td></td>
<td>Consistency</td>
<td>OBS + INT</td>
<td>+</td>
</tr>
<tr>
<td></td>
<td>Diffuseness</td>
<td>OBS + INT</td>
<td>o</td>
</tr>
<tr>
<td></td>
<td>Hidden Dependencies</td>
<td>OBS</td>
<td>+</td>
</tr>
<tr>
<td></td>
<td>Premature Commitment</td>
<td>OBS + INT</td>
<td>+</td>
</tr>
<tr>
<td></td>
<td>Visibility</td>
<td>OBS</td>
<td>+</td>
</tr>
</tbody>
</table>
these combinations was tedious, for example drag-and-drop features were missing (viscosity). Thus, we extended AntTracks with pre-defined classifier combinations for common tasks before the study (cf. Figure 1b). For example, the combination Bottom-up analysis: Domain objects first applies a filter to omit objects from internal packages (such as java.lang or java.util), and then groups the remaining objects by their types, followed by their allocation sites and by their call sites. Visibility, i.e., the ability to view components easily, was another showstopper CD we fixed before the user study. The view allows to select a certain object group for drill-down inspection by clicking on its chart series. Yet, the walkthrough revealed that it might not be obvious that an object group can be selected by clicking on the chart. Thus, we added a table next to the chart to make interaction abilities more visible (cf. Figure 1c) and investigated in the study whether users benefit from this additional table. Abstraction and role expressiveness question if users understand the meaning and the visualization of the drill-down process, i.e., how a classifier combination and the resulting classification tree are represented by multiple drillable subcharts displayed below each other. To emphasize the hierarchical relation between two charts, we added arrows in between charts before the study, as well as a textual description of the drill-down selection, as shown in Figure 1c. Another abstraction we considered to simplify analyses in AntTracks regards the way of presenting allocation sites and method calls. Call chains can become quite long (multiple 10s of calls) and thus hard to inspect, especially if an application employs various libraries that call each other. To reduce the amount of entries in such a call chain, AntTracks creates artificial entries labelled (hidden internal call sites) that combine multiple internal call sites, i.e., calls from one method to another inside a packages that cannot be modified by the user (such as java.util). This abstraction may be hard to understand for some users.

5.3 Memory Growth Inspection: Single Point in Time — Heap State View + Graph View

5.3.1 Table-based Analysis — Heap State View.
Diffuseness and viscosity are also relevant on this view since it uses the same classifier system as the TrendViz view discussed in the previous section. Yet, different classifier combinations are required on both views, as certain combinations are only sensible when analyzing a single heap state but not a trend. We thus improved the system by adding even more pre-defined classifier combinations, but showing only the relevant ones on the respective views. Role expressiveness on this view’s classifier selection questions whether the different combinations can be distinguished and understood by the users. For each combination, AntTracks shows its name, a description and the list of used filters and classifiers, as shown in Figure 1b. We added an interview question to clarify if this explanation is sufficient for users. Hidden dependencies were another problem that became apparent during the cognitive walkthrough. Users can select an object group in a heap state view and then apply various operations, some of which open new windows displaying information related to the selected object group. If the heap state window changes, for example, by selecting a different classifier combination, the object groups on the child windows no longer exist in the parent window, thus breaking (hidden) dependencies. We tried to prevent this problem by opening a new heap state window every time a new classifier combination is applied.

5.3.2 Graph-based Analysis — Graph View.
Consistency is a concern on this view, since its graph-based visualization strongly differs from other AntTracks views, most of which use time-series charts and tree tables to display data. Role-expressiveness has to be evaluated regarding the different types of nodes, edges, color encodings and other features that are intended to help users to understand the graph but also pose the risk of being too complex. Diffuseness may also be affected by this graph notation. Grouping objects based on their type significantly reduces the number of nodes on the screen but requires additional labeling.
This could result in an overly high number of screen objects negatively affecting comprehension by the user. *Hard mental operations* have to be performed as users try to spot suspicious GC roots and suitable cutting points on the paths to them. The view can visualize the heap object graph and roots to the GC roots, yet this information is only useful if users are able to interpret it correctly.

### 5.4 Memory Churn Detection — Details View

*Error-proneness*, as in the overview, concerns the interaction with AntTracks’ time-series charts, which are the main way of visualization on the details view. *Visibility* asks the question how easily users can detect time windows with high memory churn, i.e., spike-patterns with frequent and tall spikes on the chart, on the details view. *Hard mental operations* are potentially required for users without experience in memory analysis to correctly interpret such spikes as suspicious.

### 5.5 Memory Churn Inspection: Evolution over Time — Short-living Objects View

*Role-expressiveness* should be assessed during the study on AntTracks’ short-living objects view. Various information (such as garbage composition) is visualized using pie charts, but not all of it may be clear to the users, e.g., due to the terminology used. *Diffuseness* is also of interest as the view contains twelve charts, some of which contain less crucial information and thus could diffuse the more important information. *Consistency* regards the tree table on the inspection tab. In other AntTracks views, the tree table shows the live objects of a certain heap state, while this tree table shows all objects garbage-collected in the chosen time window. We decided to investigate this break in consistency in the study.

### 5.6 Cross-Cutting Dimensions

Several cognitive dimensions were found to be relevant for all views of AntTracks. We decided to assess in the study if these are well supported. *Visibility* and preventing *hidden dependencies* were our main concerns when choosing a stacked tab arrangement in AntTracks. AntTracks offers a number of different analysis features, many of which open new (child) views. We thus decided on a stacked tab system where each tab can again have further child tabs. *Abstraction* is also important in nearly all tools. For example, typical abstractions are icons or terminology inherent to the given domain. Certain abstractions may be hard to understand in which case they should be fixed in the future. *Consistency* is important for visualizations in tools. AntTracks mostly uses the same chart style on all of its views. Also, much of the information in AntTracks is visualized in tables, often tree tables, since most of its data is arranged in trees (for example classification trees). We included a question regarding consistency in the study questionnaire to reveal potential inconsistencies. *Diffuseness* may concern especially non-expert users. Visualizations should be as clear as possible, and the study was designed to reveal unnecessary or unnecessarily complex parts of the tool.

### 6 USEFULNESS STUDY DESIGN

Based on the results of the cognitive walkthrough and the subsequent improvements of the tool, our qualitative study assessed the usefulness (i.e., usability and utility) of AntTracks’ memory analysis capabilities. We structured our study using the guidelines by Runeson and Höst [97]. For the design of the study tasks, we followed the recommendations by Ko et al. [59]. Specifically, we defined six tasks based on the initial survey of memory analysis activities and capabilities (cf. Section 3). We iteratively refined these tasks by first testing their difficulty ourselves and then involving a researcher from our lab who had never used AntTracks before as a pilot user. Based on the feedback, we adjusted the study method, e.g., we removed ambiguities in the instructions.
6.1 Study Subjects

We selected the study participants from two sources: (i) we invited students from a course on Java performance monitoring and benchmarking to take part in the study, as the course ensured basic knowledge about the context and purpose of memory analysis tools (cf. Ko et al.’s inclusion condition [59]). Ten students agreed to take part in the study. We made clear in the invitation that the participation and performance in the study are in no way related to the grading of the course. (ii) In addition, four researchers from our department accepted to participate. Table 3 shows a complete list of all participants. None of them had used AntTracks before, yet six of the 14 participants heard about AntTracks in presentations. At the time of the study, nine participants were in their bachelor’s studies, two pursued a master, and three were enrolled in a PhD program. Their average experience in software engineering was 5.6 years whereas their average experience with memory analysis tools was 0.6 years. The ten students attending the Java performance monitoring and benchmarking course had completed one homework assignment involving the use of a memory analysis tool such as VisualVM [108] or MAT [106] to inspect the memory behavior of easyTravel [28], a state-of-the-art demo application by Dynatrace [29] that mimics the server of a travel agency. Seven of the students reported this assignment as their only memory analysis experience, which we regarded as an experience of 0.1 years. Besides VisualVM [108] and MAT [106], the participants already had experience in various tools including Java Melody [51, 69], Android Studio [37, 42], Valgrind [26, 79], and Java Mission Control [86] (including Java Flight Recorder [82]).

6.2 Study System

We selected the web application JPetStore 6 [78] as our study system. JPetStore has been widely used in research projects [32, 53, 55, 56, 112]. It models a minimalistic web shop for pets and uses a clearly structured class hierarchy. Categories (e.g., fish) can contain multiple products (e.g., Koi), which in turn can contain multiple items (e.g., spotted Koi and spotless Koi). Categories, products, and items each have their own web page and can be viewed in a web browser. We chose

<table>
<thead>
<tr>
<th>#</th>
<th>Current Study</th>
<th>Experience in software engineering (in years)</th>
<th>Experience in memory analysis (in years)</th>
<th>Experience in AntTracks</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Bachelor</td>
<td>3</td>
<td>0.1</td>
<td>No</td>
</tr>
<tr>
<td>2</td>
<td>Bachelor</td>
<td>6</td>
<td>0.1</td>
<td>No</td>
</tr>
<tr>
<td>3</td>
<td>Bachelor</td>
<td>4</td>
<td>0.1</td>
<td>No</td>
</tr>
<tr>
<td>4</td>
<td>Bachelor</td>
<td>3</td>
<td>0.1</td>
<td>No</td>
</tr>
<tr>
<td>5</td>
<td>Master</td>
<td>7</td>
<td>0.0</td>
<td>Presentations</td>
</tr>
<tr>
<td>6</td>
<td>Bachelor</td>
<td>1</td>
<td>0.1</td>
<td>No</td>
</tr>
<tr>
<td>7</td>
<td>Bachelor</td>
<td>2.5</td>
<td>0.1</td>
<td>No</td>
</tr>
<tr>
<td>8</td>
<td>PhD</td>
<td>7</td>
<td>2.5</td>
<td>Presentations</td>
</tr>
<tr>
<td>9</td>
<td>Bachelor</td>
<td>7</td>
<td>3</td>
<td>No</td>
</tr>
<tr>
<td>10</td>
<td>Master</td>
<td>5</td>
<td>0.1</td>
<td>Presentation</td>
</tr>
<tr>
<td>11</td>
<td>Bachelor</td>
<td>8</td>
<td>0.5</td>
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</tr>
<tr>
<td>12</td>
<td>PhD</td>
<td>10</td>
<td>0.1</td>
<td>Presentations</td>
</tr>
<tr>
<td>13</td>
<td>Bachelor</td>
<td>6</td>
<td>1</td>
<td>Presentations</td>
</tr>
<tr>
<td>14</td>
<td>PhD</td>
<td>9</td>
<td>0.5</td>
<td>Presentations</td>
</tr>
<tr>
<td>AVG</td>
<td></td>
<td>5.6</td>
<td>0.6</td>
<td></td>
</tr>
</tbody>
</table>

Table 3. Study subjects’ experience in software engineering and memory analysis.
JPetStore since its straightforward structure described in a UML [13] class diagram made it easily comprehensible for the study participants without being familiar with the source code. This helped to mitigate the risk of participants not finishing the study tasks (cf. [118]).

To prepare the system for the study, we modified the JPetStore source code to seed two memory anomalies:

1. **Memory Leak Mode**: In this configuration, we purposely keep objects alive after their intended use. Since memory leaks caused by a single object (e.g., a single static list) can easily be inspected and resolved by a dominator analysis [106], we mimic a more realistic problem that is harder to resolve due to multi-object ownership [114]. To achieve this, every time a product web page is requested, the (normally temporary) `Product` object shown on the page is stored in and kept alive by two different static lists located in different classes.

2. **Memory Churn Mode**: In the original version of JPetStore, displaying a single item results in a database query using the item’s ID, causing only a single `Item` Java heap object to be created. In our modified version, all available items are loaded from the database (a `List<Item>` of length 10,000) and the needed item is then extracted from this list. This means that 9,999 `Item` heap objects are needlessly created on every request, a typical case of high memory churn.

We created AntTracks trace files before the user study for both the memory leak and the memory churn mode. In particular, we simulated heavy load of the application via a script sending a large number of requests to the server, requesting random category web pages, product web pages, and item web pages.

### 6.3 Study Process and Data Collection

We conducted the study in a separate session with each subject. At the beginning of each session, we asked the participants to ‘think aloud’ [47, 50, 81] during the study. Specifically, we asked them to verbally describe what they were doing, to comment on any of their concerns, and to say whatever comes to their mind while solving the given tasks. A scribe documented the think-aloud statements, while a moderator watched and guided the subjects through the study and took additional notes on interesting observations not covered by the think-aloud protocol. Specifically, we conducted the following process that took approximately one hour per subject:

**Preparation.** Since the participants worked on the computer of one of the authors, all services and applications that might have distracted a study participant were closed. Before each session, we started the AntTracks Analyzer tool and loaded the trace file that was recorded using JPetStore in memory leak mode. The scribe and moderator prepared their documents to take notes. The moderator additionally prepared a utility questionnaire for the interview at the end of the session.

**Briefing.** The moderator explained the goals of the study to the participants and asked for their consent on the publishing of the findings [59]. Consent was given by signing a form explaining the study process, the data planned to be collected, and the procedures for storing and processing this data. The moderator also discussed a briefing sheet explaining the JPetStore domain, basic workflows of analyzing a memory leak and high memory churn, and the think-aloud process with the participants. The participants then received a document describing the different tasks to be performed. To ensure focus, the tasks were introduced one after another as the participants progressed through them.

**Task Execution.** The participants completed the following six tasks:

- **Memory Leak Detection:** They used AntTracks to detect suspicious memory behavior by inspecting the application’s memory consumption over time in the Overview tab.
• **Heap Evolution over Time**: The participants selected a suspicious time window and used the TrendViz feature to identify the domain objects showing the highest memory growth in this interval. They further checked at which allocation sites these objects were created, and from which sites they were called.

• **Table-based Heap State Analysis**: The participants opened the heap state at the end of the selected time frame and performed a bottom-up analysis on the domain objects. They identified the objects showing suspicious memory growth in the previous analysis.

• **Graph-based Heap State Analysis**: As a next step, they used the graph visualization to explore the neighbors of these objects. Objects remain alive if they are (indirectly) referenced by GC roots. Participants were asked to follow the from-pointers, in particular, thick edges indicating a large ownership, to find suspicious GC roots. After this analysis, participants used the application’s source code and aimed to fix the memory bug based on their findings.

• **Memory Churn Detection**: After fixing the memory leak, the participants analyzed the second trace file recorded in memory churn mode. They identified suspicious behavior by inspecting the memory charts in the application’s details view.

• **Heap Evolution of Short-living Objects**: Participants were asked to select a time window showing suspicious GC behavior, i.e., frequent collections with high object death rates, for the short-living objects analysis. They were then asked to locate and fix the memory problem in the source code based on their findings.

**Data Collection.** After finishing all tasks the participants completed a usability questionnaire covering Nielsen’s usability attributes [80] and specific capabilities of AntTracks. The moderator further conducted a semi-structured interview comprising 17 questions on the tool’s utility and usability. The questions are based on the utility questionnaire by Davis [23] and usability issues revealed in the cognitive dimensions assessment (cf. Section 5). More specifically, we included one question per cognitive dimension classified as Interview in Table 2, such as 'Did you experience any problems while selecting a given time or time window?' (targeting error-proneness on the Overview and Details view) or 'Did you experience any problems with the used terminology, i.e., naming of displayed content and/or icons used?' (targeting cross-cutting abstraction). During these interviews, we also collected the demographic information presented in Table 3. Each interview was concluded with a short debriefing.

**Data Analysis and Reporting.** After running all sessions we prepared the collected data for analysis. Overall, 370 observations (26.5 per subject on average), 261 think-aloud statements (18.5 per subject on average) and 238 interview statements (17 per subject) were recorded by the scribes, some of which will be quoted in Section 7.2. We labelled all observations, statements and interview answers to allow their systematic use. For example, as shown in Table 4, the think-aloud statement on AntTracks’ overview screen ‘In the chart, I can see that my memory grows more and more, that is not good.’ received the labels ‘Detects Growth In Chart’ as well as ‘Recognizes Growth as Problem’. We adopted and adjusted an iterative labelling process [35] that is similar to Open Coding [100]. First, a set of possible observation labels and statement labels had to be formed. For this, three of the authors jointly classified a sample set of the study session transcripts. This helped them to gain a mutual agreement on the possible labels and the coding process itself. Then each of the three authors individually coded the remaining observations and statements, while still staying in contact with each other. This allowed the coders to quickly and collectively decide if a new label should be introduced in case an observation or statement could not be mapped to an existing label. In this case, they also went through all previously labelled observations and statements to check if the new label should also be applied. Finally, the coders had a joint discussion meeting to merge the
Table 4. Labeling a think-aloud statement and linking these labels to their relevant cognitive dimensions.

<table>
<thead>
<tr>
<th>Subj.</th>
<th>Task</th>
<th>Observation / Think-aloud statement</th>
<th>Labels</th>
<th>Relevant cognitive dimensions</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>1</td>
<td>'In the chart, I can see that my memory grows more and more, that is not good.'</td>
<td>Detects Growth In Chart Recognizes Growth as Problem</td>
<td>Visibility Hard mental operations</td>
</tr>
</tbody>
</table>

three individually labelled lists of observations and statements into a single list, thereby discussing and resolving possible differences.

Each of the labels was then linked to its relevant cognitive dimensions. For example, in Table 4 the two labels are related to the cognitive dimensions visibility and hard mental operations respectively. Discussing the result of the study (cf. Section 7) can then be done view by view, analyzing each cognitive dimension in question based on the frequency of relevant labels.

Table 5. Results of the usability questionnaires. We used a four-point scale (0, 1, 2, 3) for learnability (very hard to very easy), error prevention (too many errors encountered to no errors encountered), subjective satisfaction (very bad to very good), and efficiency (very inefficient to very efficient). For memorability, we used a yes/no question. Aggregations have been performed using the median.

<table>
<thead>
<tr>
<th>Nielsen's Attr. / Subj.</th>
<th>1</th>
<th>2</th>
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<th>12</th>
<th>13</th>
<th>14</th>
<th>Med.</th>
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<tbody>
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<td><strong>Learnability</strong></td>
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<td></td>
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</tr>
<tr>
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</tr>
<tr>
<td>TrendViz</td>
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7 STUDY RESULTS
We discuss the results regarding the usability of the AntTracks Analyzer memory analysis tool based on the usability questionnaire and the findings for specific memory analysis tasks. We further report findings regarding utility. Recommendations derived from these results are then presented in Section 8.

7.1 Usability Questionnaire
Table 5 depicts the results of the usability questionnaire, which follows Nielsen’s attributes of usability [80]. In the following, we will summarize the result for each of these attributes.

Learnability. In general, 13 of 14 subjects found the tool easy or very easy to learn. We see potential for improvements especially with regard to the learnability of the graph visualization, which was twice rated as hard to learn and twice as very hard to learn.

Subject S13, who managed to solve all tasks, surprisingly rated three of the six views used during the study as hard to learn. During the interview, it became apparent that this rating was mainly due to the subject’s high familiarity with the MAT tool. MAT offers different views and analysis techniques than AntTracks, which led to some confusion. S3 assessed two of the views as (very) hard to learn, but repeatedly regarded his background in memory analysis as weak.

Error Prevention. Most participants replied that they did not encounter any errors. Participants reporting errors on the overview and the details view struggled with zooming and selecting time windows on the charts. The errors reported for the short-living objects concerned a minor visualization bug.

Subjective Satisfaction. The participants were, generally speaking, very satisfied with the tool. The basic views (overview, heap state table, and details view) had the highest ratings, while the more advanced views (TrendViz view, graph visualization and short-living objects view) were rated slightly lower. These satisfaction scores coarsely match the learnability scores.

An issue that may explain some of these lower ratings was brought up repeatedly during the study and the interviews: AntTracks was mainly perceived as a tool aimed at experienced users. Some novice study participants missed specific guidance that helps them to exploit the tool’s full potential. Some users also lacked the background knowledge needed to correctly interpret the visualized data and gain insights from the presented metrics.

Efficiency. We asked all participants if they could productively use the tool in their daily work. Twelve of the 14 participants answered this question with very efficient and two with efficient. This supports our belief that by further increasing AntTracks’ learnability, even novice users could use it efficiently to resolve memory anomalies in their applications.

Memorability. Thirteen of 14 study participants think that they will remember how to work with AntTracks after not using it for some time. Only one participant answered this question negatively.

7.2 Usability Results for Specific Activities
We now discuss the usability of the AntTracks Analyzer in detail by referring to the think-aloud statements (THA), observations (OBS), and the answers to the interview questions (INT) obtained during the study. As discussed in Section 5, we focus on a number of cognitive dimensions per view during this analysis. For each selected cognitive dimension we provide a study result (listed in Table 2), a statement summarizing the results, as well as a more detailed study report.
7.2.1 Memory Growth Detection — Overview.

The cognitive dimensions we studied in more detail on this view are the hard mental operations possibly needed to detect and interpret suspicious memory growth and the error-proneness of interacting with the time-series charts.

Although all participants could detect the memory growth, only 70% of them managed to select a good time window for analysis. Thirteen out of 14 participants mentioned that the application contains suspicious memory growth (THA). Similarly, during the interview, all 14 participants stated that they had no problem to detect the memory growth (INT). Nevertheless, we observed that this did not mean that users could also select a good window for analysis. As shown in Figure 1a, the memory chart shows a tall memory consumption spike during initialization – a typical memory pattern that is not related to a memory leak. Still, four of the 14 participants selected a time window covering the spike instead of the memory growth for further analysis (OBS), while two other participants expressed (THA) that they probably should investigate the spike in addition to the continuous memory growth. After the moderator explained that a time window covering the memory growth should be selected, over half of the participants expressed concerns regarding the optimal size of the time window (THA). (Hard mental operations: Medium)

Unintuitive controls caused problems with chart interaction. We observed that AntTracks’ chart interactions confused most of the participants. The text next to the chart explaining the different ways of interaction was often ignored (OBS). Overall, only three out of 14 participants had no problems when interacting with the chart (INT). Rather, typical statements were ‘The controls are not clear and the explanation text is too long’ (THA - S6), ‘How do I select a time window?’ (THA - S1, S5), and ‘Intuitively, I would have dragged for time window selection’ (INT - S4). Many participants rated this fact as an error, which is also reflected in the usability questionnaire (cf. Table 5). (Error-proneness: Bad)

The position of tabs and buttons led to irritations. Twelve out of 14 participants struggled to find the button to start the heap evolution analysis (OBS). The AntTracks version used in the study displayed the list of all open tabs and the list of available operations on the left side of the screen. Five people suggested to place the list of tabs and operations on the right side of the screen (INT) (as shown in Figure 1a), since ‘It is typical to look for buttons in the bottom-right corner’ (INT - S5). (Other findings - Visibility)

7.2.2 Memory Growth Inspection: Evolution over Time — TrendViz View.

We studied five cognitive dimensions on the TrendViz view as shown in Table 2.

AntTracks default classifier combinations allowed novices to select suitable classifiers for heap object grouping. AntTracks’ classifier selection system has been reworked before the user study to provide default classifier combinations to choose from, hiding its complex selection dialog in an expert mode. Except for two participants, one of which wanted to try the expert mode out of curiosity (OBS - S5, S13), all other participants selected the correct classifiers without problems by using one of the pre-defined classifier combinations. (Diffuseness: Good; Viscosity: Good)

Participants succeeded with finding the drill-down feature. We added interactive tables next to the charts before the study, to allow the users to drill-down by clicking on table entries. This paid off, as all participants except one discovered the drill-down feature (OBS). During the interview, we asked the participants whether they were aware of the drill-down feature in the view. A typical response was ‘No. But I intuitively clicked on the table and expected something to happen.’ (INT - S1, S7, S9, S10, S11). One participant stated that he ‘would probably have clicked on the chart if it contained a hover effect combined with a changed mouse cursor and a tool tip’ (INT - S4). (Visibility: Medium)
Participants understood the drill-down feature and sub-chart dependencies but were confused by terminology. Eleven subjects had no problem understanding the drill-down feature (INT), two subjects said it took them some time (INT - S6, S13), and one participant had general problems to understand the TrendViz view (OBS - S3). When asked whether they understand what happens when they drill-down, answers included 'More details of the selected elements are shown' (INT - S2), 'It is like following one branch in a tree' (INT - S4), and 'Selecting a type to show its allocation sites was clear to me' (INT - S1). Thus, we can conclude that in general the meaning of the drill-down was clear to the subjects. Yet, we observed that four subjects stopped the drill-down early due to bad abstraction. Instead of investigating all available four call sites (by performing four drill-downs) they stopped at the first call site, i.e., '(hidden internal call sites)' (OBS), as they expected no additional call sites (THA). (Abstraction: Medium; Role-expressiveness: Good)

7.2.3 Memory Growth Inspection: Single Point in Time — Heap State View.
The cognitive dimensions viscosity, diffuseness, and role-expressiveness on this view are relevant for the classifier selection, as well as for the view in general. The problem of broken hidden dependencies was no longer an issue after fixing a potential problem before the study (OBS).

Half of the participants were unaware of the direct switch from heap evolution analysis to heap state analysis. Even though the TrendViz view offers easy switching to the heap state analysis at the end of the selected time window, seven participants were unaware of that feature and instead used a complex work around, i.e., going back to the overview screen and manually selecting this point in time on the chart (OBS). (Viscosity: Medium – Navigation between views)

The participants effectively used the pre-defined classifier combinations for typical heap state analysis tasks and selected them based on either name or description. In the interview we asked the participants about the selection of the classifier combination in the heap state view. Seven said that they selected the correct classifier combination based on its name while six answered that they used the textual description for selection (INT). Three participants stated that they additionally checked the set of classifiers to make sure it matches the description (THA). Only a single participant selected a wrong combination by ignoring all information and by just using the pre-selected default combination (OBS - S10). (Diffuseness: Good; Viscosity: Good – Classifier Selection)

Some participants regarded the tree table view as too diffuse. While inspecting the heap state, three participants mentioned that 'too much information is shown on the tree table view' (THA - S3, S5, S14), and that they wished for a master-detail view (INT) to reduce the view’s diffuseness. (Diffuseness: Medium – Heap state table)

Hiding the complexity of the classifier system made selection easier but half of participants no longer understood its full possibilities. It was obvious for half of the participants in the interview how the classification system works and that the pre-defined combinations just differ in terms of which filters and classifiers are applied (INT). Four said that they were aware of some classification system, understood the results intuitively, but did not think about how it works in the background (INT). Three others said that they did not recognize a classifier system as such but just selected a classifier combination name based on the instructions (INT). (Role-expressiveness: Medium)

Participants struggled with terminology. We again observed problems regarding the cognitive dimension abstraction, as some people struggled with terminology used on the heap state view. For example, two participants did not understand the meaning of 'hidden internal call site' (THA - S5, S10), while one could not make sense of the term 'retained size' (THA - S6). (Other findings – Abstraction)
7.2.4 Memory Growth Inspection: Single Point in Time — Graph View.
The object graph visualization in AntTracks enables users to visually explore the paths from a group of objects to their GC roots. We received valuable feedback during the study regarding this view.

Meaning of graph view elements not immediately clear. Only five subjects said that all operations and elements on the view were clear (INT). Four subjects said that they need better edge labeling (INT) to understand how many objects are kept alive on which path (THA, INT) and three subjects suggested to explain the operations in more detail, e.g., by using a tooltip (INT). One subject suggested to reduce the amount of text used in GC root nodes and to highlight different GC root types in different colors (INT - S8). Two participants generally struggled with using this view (OBS - S3, S6). For example, one participant did not understand that nodes and edges represent groups of objects and object references respectively but instead thought the graph would visualize relationships between outer and inner classes (THA - S6). (Role-expressiveness: Bad)

Graph complexity regarded manageable. Even though the graph view displays many elements, no participant expressed any concerns, possibly also due to AntTracks’ highlighting of important edges with thick lines to guide the users. This is further confirmed by the fact that half of the participants liked this edge highlighting (THA, INT). (Diffuseness: Good)

Interaction in graph-based visualization worked fine. As this is the only view in AntTracks that does not use tables or time-series charts for visualization, we studied whether participants have problems with this break in consistency. However, all subjects managed to apply the operations and use the features for zooming and panning, and no problems with the interaction mechanisms were found (OBS, THA). (Consistency: Good)

Half of the participants struggled to extract the information needed to fix the memory leak. Finding the most suspicious GC roots relies on detecting those paths on which the most objects are kept alive. However, as already mentioned, edge labeling was a source of confusion (OBS, THA). Also, two subjects (correctly) expressed concerns whether thread-local variables (that were at that time visualized in the same way as the more dangerous static fields) should also be considered as suspects (THA). In addition, we observed that three participants ignored the fact that the memory leak was caused by multi-object ownership, i.e., two different static fields kept the same objects alive, even though they were both shown side by side on the graph view (OBS). (Hard mental operations: Bad)

Two thirds of the participants managed to trace the memory leak to source code. Overall, six of the 14 participants were able to identify both static lists that are responsible for the memory leak within the source code (OBS). Three participants found one of the two sources for the memory leak, but did not recognize the multi-object ownership in the graph view (OBS). Five participants were not able to use the insights gained in the tool to make the necessary fixes in the source code (OBS). (Other findings)

7.2.5 Memory Churn Detection — Details View.
The second part of the study involved the analysis of memory churn. The participants’ first task was to inspect the development of the application’s memory footprint over time and to look for memory anomalies using AntTracks’ details view. Since this view uses the same charting technique as the overview, the problems regarding the error-proneness of chart interactions reported in Section 7.2.1 also affected this view.

Novices struggled with correctly interpreting the spike pattern. The main goal on the details view is to detect memory churn hotspots based on spike patterns on the memory chart, as shown on Figure 2a. Twelve of 14 participants recognized this spike pattern (THA). Two participants wrongly focused on the initial memory spike as discussed in Section 7.2.1 (OBS - S1, S3). Five
participants had no idea whether such spike patterns are abnormal and have to be inspected further (THA). The statements ranged from ‘I think many short-living objects are normal in Java applications’ (THA - S12, S13) to ‘It cannot be normal to allocate two million objects when only showing a few webpages’ (THA - S11). Selecting a good time window for the analysis was also hard for most participants as the size of the selected time windows varied drastically from 2 to 200 garbage collections (OBS). Very short or very long time windows may distort the analysis result, and a very large time window may unnecessarily increase the analysis time. (Visibility: Good; Hard mental operations: Bad)

**GC-specific terminology confused some participants.** The details view shows three different series per memory chart, representing the different generations of the GC: Eden, i.e., objects that are new and have not yet survived a single garbage collection, Survivor, i.e., objects that have survived a few garbage collections, and Old, i.e., objects that have lived for a long time and are stored separately in the heap in order to speed up frequent garbage collections. Seven participants stated that these terms are either unknown to them or that they once learned about them but have forgotten them (THA, INT). (Other findings)

7.2.6 Memory Churn Inspection: Evolution over Time — Short-living Objects View.

The upper half of the short-living objects view presents pie charts showing the types and allocation sites that caused the most garbage in terms of objects and bytes in the selected time window (cf. Figure 2b). The bottom half of the view shows charts comparing the selected time window’s GC frequency and GC overhead with the application’s average. During the study, we studied the role-expressiveness and diffuseness of these charts. Additionally, the view provides a tree table view for detailed analysis which we inspected regarding consistency.

All participants managed to extract the information needed to inspect the problem despite some irrelevant and overly complex charts. All subjects managed to use the charts to recognize the type that caused the most garbage (OBS). The allocation site pie charts were, in general, understood but ignored (OBS, THA). Only one participant said that the allocation site charts are unclear (INT - S13). Yet, four participants expressed that they did not understand the charts on the bottom half of the screen (INT), while six other participants ignored these charts because they considered them as not relevant to the problem (INT). (Role-expressiveness: Medium; Diffuseness: Bad)

**The participants had no problems with interpreting the tree table.** After inspecting the charts, the participants switched to the tree table to inspect the garbage created over the selected time window. Since tree tables in AntTracks are generally used to visualize live objects, we wanted to know whether the study participants understood that dead objects are shown in this case. Thirteen participants stated that the content of the tree table was clear to them (INT). Only one participant replied that he ‘had to think a bit about what the columns mean’ (INT - S8). (Consistency: Good)

**Most participants could locate the memory churn location in the source code, six could to fix the problem.** While looking for the root cause of the memory churn, all subjects started to inspect the top-most table entry, i.e., char arrays that died without surviving a single garbage collection (OBS). Since domain types (e.g., types that are not part of the Java library) are often easier to locate in the source code, AntTracks highlights these types in bold to direct the users’ attention to these tree table entries (for example, in Figure 1d the type Product is highlighted as a domain type). Still, only half of the participants noticed the domain object type that generated the most garbage (OBS). During the interview, one participant stated that he would ‘probably have investigated the domain objects if they were not only written in bold, but shown in a separate section within the tree table, or even as a separate pie chart on the overview’ (INT - S3).
Overall, eleven participants found the problematic method in the source code: all seven participants who inspected the domain objects in AntTracks and four of the seven subjects who did not inspect the domain objects in AntTracks. Only six of the eleven subjects who managed to locate the problematic method were also able to fix the problem. Five of them had inspected the domain objects (OBS). Subjects who did not focus on the domain objects in AntTracks were looking for allocations of char arrays (THA), while the others were looking for Item objects (THA). Many Item objects are created by the problematic code, but since every Item object contains up to eight String objects each again containing a char array, all these allocations are represented by the same call site, which obviously confused some of the subjects (OBS). (Other findings)

7.2.7 CD Assessment: Cross Cutting.
Several cognitive dimensions are relevant on all views or relate to the general structure and organization of AntTracks.

Constraints on the order of doing things. Participants did not feel constrained by the tool, which allows to go back after making a wrong step and to revert earlier actions (OBS). (Premature commitment: Good)

Basic layout and stacked tabs. We did not detect any hidden dependencies the participants were not aware of (OBS). When asked what they liked about the tool in general, six participants said that they like the general layout using stacked tabs and the consistent positioning of tabs and actions on the screen (INT). (Visibility: Good; Hidden dependencies: Good)

Assumptions made regarding terminology knowledge. We noticed that especially novice users occasionally struggled with terminology (OBS). For instance, half of the participants did not know the difference between eden, survivor and old objects on the details view (INT). Two participants did not understand the difference between an allocation site and a call site (INT - S7, S12) – a problem also affecting other participants as we suspect based on our observations (OBS). (Abstraction: Bad)

Consistency of visualizations. The participants did not point out inconsistencies regarding different means of visualization in AntTracks (INT). AntTracks’ chart syncing, i.e., keeping the same zoom levels and time selections across multiple charts and views, was positively mentioned with regard to consistency (INT - S10). (Consistency: Good)

Suggestions for reducing complexity. We interviewed the study participants whether they considered any of AntTracks’ views or interface elements as unnecessary or overly complex. Eight participants raised no concerns in this regard (INT). The suggestions for reducing complexity encompass a master-detail view on the heap state view (INT - S3, S14), the simplification and improved guidance on the graph visualization (INT - S6, S8, S12), and the removal of some charts on the short-living objects view (INT). On the positive side, two users commended AntTracks’ good default settings while keeping expert modes for advanced users (INT - S4, S9). (Diffuseness: Bad)

7.3 Utility
To assess the utility of AntTracks’ views, we first asked subjects whether they liked the flow suggested by the study, i.e., to first search for memory growth, then visualize the growth using the AntTracks TrendViz, and then inspect the final heap state followed by an analysis of the heap object graph. Twelve subjects found the order natural (INT), while two participants stated that they did not really need the trend analysis (INT - S2, S8). However, we also received positive feedback for the trend analysis, for example ‘In hindsight, I liked the trend view. Without the study tasks, I would have directly selected a single point in time because I am used to it’ (INT - S4).

We also asked the participants whether they missed a specific feature or whether they wanted to make any other comments, resulting in a catalog of 19 feature requests and possible improvements.
for AntTracks. The most-wished feature mentioned by five study participants concerns ‘better guidance’ (INT). This is related to three sub-problems: (1) deriving knowledge from the current screen, i.e., interpreting the shown data; (2) selecting appropriate steps which should be taken next based on the findings on the current view; and (3) guidance within the IDE on how to fix problems in the source code. Three participants wished for ‘more tool tips’ across the various views, especially on the graph view (INT). Some improvements were suggested by at least two participants, such as a ‘master-detail view’ for views using tree tables (INT - S3, S5), as well as tutorial videos explaining how to use the tool (INT - S3, S6). These videos could be uploaded to a video platform (such as Youtube), as well as directly integrated into AntTracks for ad-hoc learning / learning by doing [99] (INT). All other suggested improvements were suggested by a single subject and describe rather minor changes, often with potentially high impact, e.g., zooming with CTRL-key and mouse wheel (INT - S5) or highlighting the currently hovered line in the tree views for better orientation (INT - S8). There was also a wish for more automated analyses (INT).

Finally, we asked the study participants what they liked and disliked about the tool in general. Most participants mentioned that they liked AntTracks’ general look-and-feel as well as its layout and visual structure (INT). Four participants said that they liked the charts, i.e., their structure, labeling and the selection synchronization feature (INT). Two participants mentioned AntTracks’ high productiveness (INT) and also found it intuitive to use (INT). The most disliked aspect of the tool was the chart interaction. Five participants mentioned that they did not like the way how zooming and time window selection works (INT), two participants pointed out that AntTracks and especially its charts react laggy to user input (INT). Four participants said that, although they liked the way how the tabs and operations are arranged, they should be placed on the right side of the window since this is where most users look for operation buttons (INT). As already mentioned it earlier, three participants repeated that they lacked guidance for novice users (INT).

8 RECOMMENDATIONS

Based on the study results presented in the previous section we derived nine general recommendations for developers of memory analysis tools. Table 6 shows each of these recommendations as well as cross references to the study results based on which we formulated the recommendation. In the following, we shortly describe each of them.

Use flexible drill-down mechanisms. AntTracks heavily relies on its classification system with multi-level grouping [119, 122]. During the study, we were able to observe that though not all participants recognized the classification system as such even novice users were able to easily understand the resulting tree-structured data. Allowing the users to drill down on this data level by level (e.g., from the whole heap to the most allocation intensive type further to this type’s most allocation intensive allocation site) enables them to focus on one thing at a time. The same could be observed on the graph view. The participants performed best when they could focus on one task, inspecting one path to a GC root at a time, drilling down step by step.

Hide complexity using task-specific default settings. Even though this may sound trivial, we can clearly see that the study participants profited from pre-defined classifier combinations for different typical analysis task. Before introducing these pre-defined combinations, AntTracks also pre-selected a default combination, yet this combination had to be slightly adjusted based on the task’s analysis goal - a seemingly simple task which nevertheless can demand too much from novice users. Thus, we suggest to define typical tasks and workflows and provide default settings for each of them.
Carefully select and explain memory analysis terminology. Probably one of the most clear results of the study is that AntTracks used too much terminology that is unknown to novice users (such as allocation site, call site, retained size or the garbage collector’s space names eden, survivor, and old). Tool developers should aim to improve this situation by reducing the number of complex terms in situations where they are not really needed and by providing easy-to-understand explanations.

Show details and advanced analysis results only on demand. As stated above, the participants performed best when they were able to focus on a single task, drilling down on the problem step by step. This also relates to the well-known Shneiderman’s mantra ‘Overview First, Zoom and Filter, Details on Demand’ [101]. By first giving an overview and only showing details on demand, the view’s diffuseness is reduced and its visibility is increased. This does not only concern the analysis views, but also complex configuration views or settings panes. For example, AntTracks by default hides the expert mode on the classifier selection view, yet offers very flexible configuration possibilities on demand. Other examples in AntTracks encompasses the Overview screen, which shows only two charts, both of which only contain a single chart series, to be as easy to understand as possible. For more detailed information, the user can switch to the details view. A counter-example is AntTracks’ short-living objects view, which contained far too many charts. Since many of these charts are not needed to find the root cause of high memory churn but rather present

<table>
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<td>Hide complexity using task-specific default settings</td>
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general and additional information on the GC activity, they should be moved to another tab to reduce diffuseness.

*Provide support for time selection in large time series.* This recommendation is two-fold: First, it suggests to use well-known and established user input handling on time-series charts, and second it suggest to provide tool support for intelligent (semi-)automatic selections. For example, regarding the first recommendation, study participants suggested to use input handling similar to Audacity\(^3\). This application provides a wide array of interaction possibilities such as the selection of time windows by dragging the mouse, where these time windows can be further modified, for example moved or resized. Regarding the second recommendation, by analyzing the chart’s underlying time series data [34], the tool can automatically detect suspicious patterns (such as continuous memory growth or frequent memory spikes). When such a pattern is detected, the tool can then suggest a time windows for memory analysis inspection to the user [116], further reducing the need for hard mental operations.

*Ensure a smooth transition from evolution analysis to snapshot analysis.* In general, we can distinguish two types of analyses that can be performed in memory analysis tools: Analyses that inspect the application at a given point in time, and analyses that inspect the application’s behavior over time in a given time window. Both of these analysis types are often interwoven and follow each other in a typical analysis workflow. For example, when investigating memory leaks, the user typically first inspects which objects accumulate *over time* in a certain window, and then inspects the object graph around these objects at the end of the time window, i.e., at a given *point in time*, to find the reason for their accumulation. By defining typical workflows, i.e., typical orders of analysis steps, users can be better guided through the whole process, making transitions between different types of analysis easier to follow.

*Use automation to relieve users from complex tasks.* This recommendation mainly focuses on reducing the amount of hard mental operations. During the study, especially on the graph view, the participants expressed desire for automation to reduce the amount of manual work needed during the analysis. For example, they suggested that paths to the GC roots could automatically be calculated and analyzed, only showing those most likely involved in a potential memory leak. The previously mentioned automatic suggestion of suspicious time windows also relates to this recommendation.

*Provide guidance and explanations to support exploratory learning of analysis capabilities.* Another main finding of the study is that novice users, even though often able to ‘see’ suspicious patterns, cannot correctly interpret them and thus cannot derive the right conclusions. This problem already became apparent during the first task of the study, in which the users had to inspect a memory time-series chart and select the most suspicious time window. While all of the participant saw the continuous memory growth there, 30% of the participants chose another time window. Also, one of the first questions by a participant was ‘Is there some sort of suggestion available?’. Similar interpretation problems could be observed throughout the study. We thus suggest that tools should not only provide the functionality to inspect memory anomalies, but they also need to provide guidance [33] to support exploratory learning and learning-by-doing [99] and to increase the tool’s general learnability [1, 80]. Such guidances exist in various forms, ranging from simple wizards [27, 109] to context-sensitive help systems such as coaches, guides or advisors [27, 72]. For example, advisors are context-sensitive help systems that usually include hints, tips, reasoning support, and explanations of complicated concepts, helping novice users to make decisions and

\(^{3}\)Audacity [3, 68] is a free, open source, cross-platform audio software.
helping to understand why a certain step must be performed or to determine why a certain decision was suggested. Such help systems, in combination with more recent approaches such as micro-learning and gamification [38, 43, 49], are often used during the process of onboarding, i.e., introducing a new tool or service to a person to ensure success [94, 103].

Provide IDE integration to guide diagnosis of memory bugs. Finally, we suggest a stronger integration of memory analysis tools with IDEs. Currently, most memory analysis tools are standalone applications, decoupled from the user’s development environment. Yet, it is this development environment where the user is expected to fix the just detected memory anomaly, however, without further guidance. Developing an IDE plugin [4, 21] (and probably even expanding the tool’s capabilities by the use of hybrid static and dynamic analysis [31]) would make it possible to automatically detect and highlight suspicious code segments and provide further guidance on the source code level.

9 PLANNED IMPROVEMENTS FOR ANTTRACKS BASED ON RECOMMENDATIONS

The previous section distilled a set of recommendations based on the results of our study. We now report our improvement plans for five areas of AntTracks based on these recommendations.

9.1 Allocation Site Handling

In complex software systems, call chains can become confusingly long. To mitigate this problem, AntTracks already distinguishes domain call sites likely part of the application under investigation and non-domain call sites in libraries, for example classes located in packages java.* or javax.*. Call chains stretching over multiple non-domain call sites are collapsed into a single entry named hidden internal call sites. In JPetStore, the test system inspected in the study, this often shortens call chains containing more than 25 entries down to five entries. Unfortunately, we observed that some participants still struggled with interpreting this entry and they also had problems to distinguish the terms allocation site and call sites. This means that the presentation of allocation sites and their call chains has to be further improved as expressed by our recommendation to carefully select and explain memory analysis terminology. Non-domain call sites could be hidden completely by default, only displaying them on demand, thereby implementing our recommendation to show details and advanced analysis results only on demand. For domain call sites, the call distance could be shown next to them, i.e., either directly called by X or indirectly called by X. Another planned feature is to show the call chain not textually but visually using a graph. Such a call graph could also be combined with techniques for hiding internal call sites (discussed above). Finally, a stronger coupling between the analysis tool and the IDE would realize our recommendation to provide IDE integration to guide diagnosis of memory bugs. Selecting an allocation site in the analysis tool could highlight the source code location in the IDE. We expect that this will help users to interpret the call hierarchy more easily as compared to just looking at the allocation site information in the analysis tool.

9.2 Domain Filtering

The idea of distinguishing non-domain call sites and domain call sites can also be applied to types, thereby distinguishing non-domain types and domain types. Our study showed that participants focusing on domain call sites and domain types were more successful in resolving the underlying problem in the source code. In AntTracks, domain sites and domain types are currently written in bold to gain the users’ attention. Another technique to highlight domain objects is to automatically group non-domain objects and domain objects, thereby implementing our recommendation to use automation to relieve users from complex tasks. However, not every memory anomaly may be
resolved by just looking at domain objects. For example, applications that mostly work with built-in data types may not profit from such a feature. Nevertheless, following our recommendation to hide complexity using task-specific default settings we think that inspecting the domain objects first reduces task complexity, in many cases already provides useful results, and sometimes even reveals the root cause of the underlying problem.

9.3 Chart Interaction Behavior
Many study participants were dissatisfied with the way AntTracks handles the zooming and the selection of time windows on time-series charts. The decision to use this convention was partially based on the default zooming behavior of the JFreeChart charting library \cite{36}, which defines zooming as mouse drag. We will thus improve AntTracks’ chart interactions, thereby implementing our recommendation to provide support for time selection in large time series. To this end, we will study other tools and applications with similar chart interaction techniques (such as Audacity \cite{3}) and take them as example.

9.4 Graph-based Views
Most state-of-the-art tools rely heavily on the visualization of data using (tree) tables. Yet, ample scientific work exists on more advanced features for memory visualization \cite{2, 46, 71, 74, 93, 98, 129}. AntTracks thus already provides a graph-based visualization of the aggregated object graph to inspect the paths to the GC roots. The study revealed interesting findings in this regard: Firstly, GC roots were visualized in the same way regardless of their kind. However, thread-local variables are often very short-living and thus in most cases not relevant for identifying memory leaks. Visualizing such roots in the same way as more suspicious roots such as long-living static fields can distract tool users. We thus plan to use different notations to better highlight more suspicious GC roots. Secondly, the edge labels currently show how many objects from a given edge’s start node reference objects of the given edge’s target node. This makes it easy to inspect the ownership relationship between two neighboring nodes, but it remains hard to extract the ownership influence between two more distant nodes. For example, in Figure 4a, we see a part of the graph visualization the participants were confronted with during the study. It shows that nearly all Product objects (bottom-most node) are referenced by twelve different Object[] objects, two of which are referenced by CopyOnWriteArrayList objects and ten are referenced by ArrayList objects. Taking only edge

![Fig. 4. Comparison of two edge labeling techniques.](image)
labeling into account, this notation is not helpful to understand if the CopyOnWriteArrayList or the ArrayList objects keep alive more Product objects. To this end, we also encoded the ownership, i.e., how many objects are kept alive by a certain node, into the edge color and width. Even though the thicker colored edges guided the participant into the right direction, some reported that they did not understand why certain edges were colored and wider than others. This suggests that edge labeling and edge highlighting in memory object graphs should not be too general but problem-driven, as suggested by our recommendation hide complexity using task-specific default settings. For example, we meanwhile already realized a new graph view in AntTracks supporting the visualization of ownership edges, see Figure 4b. Instead of labeling edges based on the relation between two neighboring nodes, it takes one node, i.e., object group, and adjusts all edges and their labels to highlight those that keep alive most of the selected objects. The labels in Figure 4b now clearly highlight the path on which most Product objects are owned, i.e., kept alive.

9.5 Guidance
Many study participants expressed the risk of not using the tool to its full potential due to their missing background in memory analysis. They suggested that the tool should provide guidance features such that even novice users could use it without prior training. Since this was the most requested feature by the study participants, we have meanwhile extended AntTracks with an advisor feature realizing our recommendation to provide guidance and explanations to support exploratory learning of analysis capabilities. This guidance system performs four support operations on each analysis step: it (1) detects and (2) highlights the most important information on the screen, (3) explains why this information is important, and (4) suggests which next steps are appropriate based on these findings. This way, even without prior tool experience, AntTracks now guides users through the whole analysis process. For example, on the overview and the details views, AntTracks now automatically detects suspicious time windows, highlights them, explains why these time windows are considered suspicious and which next analysis steps seem appropriate [116], additionally realizing our recommendation to provide support for time selection in large time series.

Yet, while AntTracks now may guide users to suspicious allocation sites, they still have to fix the memory bug in their IDE without any further guidance. We thus further plan to integrate parts of this guidance also into IDEs, closing the gap of guided analyses in AntTracks and unguided source code inspection in the IDE, following the recommendation to provide IDE integration to guide diagnosis of memory bugs.

10 THREATS TO VALIDITY
A threat to construct validity is that our results might be biased due to the system we used during the study. However, we selected JPetStore because it easy to understand and at the same time allows to comprehensively assess the key views of AntTracks. Furthermore, JPetStore has also been used in many other studies [32, 53, 55, 56, 112] since it represents a clearly organized and realistic web application. Another threat to construct validity is the selection of appropriate tasks for our user study. To select representative memory analysis tasks, we discussed typical memory analysis activities by studying related work and state-of-the-art tools and selected the study tasks based on these activities. We further conducted a pilot study with a PhD student from our lab to further improve the expressiveness of the tasks.

To ensure external validity, we selected an interactive tool that is representative for the domain of memory monitoring and analysis. Although the implications we derived from the study depend on our experiences in using and working with AntTracks, the activities and capabilities are common in other memory analysis tools, as discussed in Section 3. Another threat is the use of students as subjects. They were selected based on their participation in a university course that teaches the
basics of monitoring and performance analysis and includes a homework assignment on memory
analysis. This allowed us to ensure that all subjects have a minimum of background knowledge on
the context of the study. We have also shown that most of the subjects are software developers
with several years of experience. Furthermore, it has been argued that the differences between
students and professionals are only minor if they perform relatively small tasks of judgment [48].
While the participation of additional experienced memory analysts may have added further value,
we realized that the findings for our subjects nicely converge and that there were many common
insights. When qualitatively analyzing our results we noticed a certain degree of saturation and
the findings showed that adding more novice participants would not have led to more insights.

With regard to internal validity, the analysis of the collected data still depends on our own
interpretation. However, this work was performed by three researchers and their results were
checked by a fourth senior researcher. We also had regular joint meetings to reconcile different
interpretations.

Regarding conclusion validity, the threat consists in the fact that our results are based on qualita-
tive data [100]. Given that an aim of the study was to investigate the behavior and opinions of tool
users, qualitative research methods are well-suited. We further applied the derived recommenda-
tions to AntTracks to additionally check their validity.

11 CONCLUSIONS
This paper presented the detailed results of assessing the usefulness of memory analysis capabilities
as implemented in the AntTracks Analyzer tool using the cognitive dimensions (CD) of notations
framework and a user study involving 14 subjects. The CD framework serves as a discussion tool
for designers and people who evaluate designs of interactive artifacts, including software tools. We
used the results of the CD assessment and the user study to discuss both specific implications for
AntTracks as well as general recommendations for memory analysis tool developers. Practitioners
and researchers can use our work as one example of how to assess the usability and utility of
interactive memory analysis tools.

Overall, we can conclude that the usability and utility of AntTracks were perceived as very good.
All subjects liked the tool and most of them were able to complete the tasks within the planned time.
However, our observations revealed some issues with regard to diagnosing and fixing problems
in the source code. Overall, the positive feedback is encouraging given the participants’ limited
background in memory analysis, their missing familiarity with the tool, and the complexity of the
given analysis tasks. The comments and suggestions made by the study participants have been
of great help to us to further improve our tool. For example, the guidance and support of novice
users requested by many participants is a major focus for our ongoing work. First steps in this
direction have already been taken since the study by introducing a system that automatically detects
suspicious time windows, highlights them and explains why these time windows are considered
suspicious [116]. This frees the users from the detection task, teaches them why a certain time
window is of interest, and thus leads to a learning-by-doing effect [99].

In the future we will further improve our tool, especially regarding support for novice users, and
conduct experiments with other systems and users.

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